

# Paramodularity

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# A Survey of the Paramodular Conjecture

1. Part *I*. All elliptic curves defined over  $\mathbb{Q}$  are modular.
2. Part *II*. All abelian surfaces defined over  $\mathbb{Q}$  with minimal endomorphisms are paramodular.
3. Part *III*. Systematic Evidence for the Paramodular Conjecture
4. Part *IV*. Paramodular Conjecture 2.0
5. Part *V*. Heuristic tables of paramodular forms.

# All elliptic curves $E/\mathbb{Q}$ are modular

Theorem (Wiles; Wiles & Taylor; Breuil, Conrad, Diamond & Taylor)

Let  $N \in \mathbb{N}$ . There is a bijection between

1. isogeny classes of elliptic curves  $E/\mathbb{Q}$  with conductor  $N$
2. normalized Hecke eigenforms  $f \in S_2(\Gamma_0(N))^{\text{new}}$  with rational eigenvalues.

In this correspondence we have  $L(E, s, \text{Hasse}) = L(f, s, \text{Hecke})$ .

- Eichler (1954) proved the first examples  
 $L(X_0(11), s, \text{Hasse}) = L(\eta(\tau)^2\eta(11\tau)^2, s, \text{Hecke})$ .
- Shimura gave a construction from 2 to 1.
- Weil added  $N = N$ .

# $L$ -functions of elliptic curves over $\mathbb{Q}$

1. The local Hasse  $p$ -Euler factor is the characteristic polynomial of Frobenius on the Tate module  $\mathbb{T}_\ell(E)$  of the elliptic curve  $E$ .

$$Q_p(E, t) = \det \left( I - t \text{Frob}_p | \mathbb{T}_\ell(E)^{I_p} \right)$$

2. The local Hasse Zeta function can be computed by counting points on the elliptic curve  $E$  over finite fields.

$$Z_p(E, t) = \exp \left( \sum_{n=1}^{\infty} \#\{\text{Points on } E/\mathbb{F}_{p^n}\} \frac{t^n}{n} \right) = \frac{Q_p(E, t)}{(1-t)(1-pt)}$$

3. Global Hasse  $L$ -function

$$L(E, s, \text{Hasse}) = \prod_{\text{primes } p} Q_p(E, p^{-s})^{-1}$$

# An example: LMFDB 11.a3

1.  $E[\mathbb{F}] = \{(x, y, z) \in \mathbb{P}^2(\mathbb{F}) : y^2z + yz^2 = x^3 - x^2z\}$

$n$	1	2	3	4	5	6	7
$\#E[\mathbb{F}_{2^n}]$	5	5	5	25	25	65	145

2. The Hasse Zeta function at  $p = 2$ :

$$Z_2(E, t) = \exp\left(5t + 5\frac{t^2}{2} + 5\frac{t^3}{3} + 25\frac{t^4}{4} + \dots\right) = \frac{1 + 2t + 2t^2}{(1-t)(1-2t)}$$

3.  $\therefore Q_2(E, t) = 1 + 2t + 2t^2$

# An example: LMFDB 11.a3

## 1. Global Hasse $L$ -function

$$L(E, s, \text{Hasse}) = \prod_{\text{primes } p} Q_p(E, p^{-s})^{-1} = 1 - \frac{2}{2^s} - \frac{1}{3^s} + \frac{2}{4^s} + \frac{1}{5^s} + \dots$$

## 2. There is an elliptic modular newform $f_{11} \in S_2(\Gamma_0(11))$

$$f_{11}(\tau) = q - 2q^2 - q^3 + 2q^4 + q^5 + \dots$$

$$= \eta(\tau)^2 \eta(11\tau)^2 = q \prod_{n=1}^{\infty} (1 - q^n)^2 (1 - q^{11n})^2$$

$$= \frac{1}{2} \theta \begin{pmatrix} 2 & 1 & 1 & 1 \\ 1 & 2 & 0 & 1 \\ 1 & 0 & 8 & 4 \\ 1 & 1 & 4 & 8 \end{pmatrix} (\tau) - \frac{1}{2} \theta \begin{pmatrix} 2 & 0 & 1 & 0 \\ 0 & 2 & 0 & 1 \\ 1 & 0 & 6 & 0 \\ 0 & 1 & 0 & 6 \end{pmatrix} (\tau)$$

# All elliptic curves $E/\mathbb{Q}$ are modular (again)

Theorem (Wiles; Wiles & Taylor; Breuil, Conrad, Diamond & Taylor)

Let  $N \in \mathbb{N}$ . There is a bijection between

1. isogeny classes of elliptic curves  $E/\mathbb{Q}$  with conductor  $N$
2. normalized Hecke eigenforms  $f \in S_2(\Gamma_0(N))^{\text{new}}$  with rational eigenvalues.

In this correspondence we have  $L(E, s, \text{Hasse}) = L(f, s, \text{Hecke})$ .

- Credit Taniyama (1956) and Shimura ( $\sim$  1963) for important modularity conjectures.
- In its final form, this is a *classification* theorem.
- Cremona has led the classification of  $E/\mathbb{Q}$  up to conductor  $N \leq 400\,000$ . ([johncremona.github.io/ecdtata](https://johncremona.github.io/ecdtata))

# Part Two

## The Paramodular Conjecture

1. What are abelian surfaces  $A/\mathbb{Q}$ ?
2. What are paramodular forms  $f \in S_k(K(N))$ ?
3. How does the Paramodular Conjecture relate the two?

# Abelian Varieties

Let  $K \subseteq \mathbb{C}$  be an algebraic number field.

## Definition

An abelian variety  $A/K$  is a projective variety defined over  $K$  with an algebraic group law also defined over  $K$ .

$g=1$  Elliptic curves:  $A_{\text{hol}} \cong \mathbb{C}^1 / (\mathbb{Z} + \tau\mathbb{Z})$  for  $\tau \in \mathcal{H}$ .

$g=2$  Abelian surfaces:  $A_{\text{hol}} \cong \mathbb{C}^2 / (D\mathbb{Z}^2 + Z\mathbb{Z}^2)$  for  $Z \in \mathcal{H}_2$ .

- $D = \text{diag}(1, d)$  for  $d \in \mathbb{N}$  gives the type of “polarization” of  $A$ .
- $\mathcal{H}_2 = \{Z \in M_{2 \times 2}^{\text{sym}}(\mathbb{C}) : \text{Im } Z > 0\}$ , the *Siegel upper half space*.
- But for  $g > 1$  the equations defining  $A$  inside a projective space are *complicated!*

# How can we construct *some* abelian surfaces?

1. If  $\mathcal{C}$  is a curve of genus 2 defined over  $\mathbb{Q}$ , then

$$A = \text{Jac}(\mathcal{C})$$

is an abelian surface defined over  $\mathbb{Q}$  with *principal* polarization, i.e., type  $D = \text{diag}(1, 1)$ .

2. If a genus 3 curve  $\mathcal{C}_3$  is a ramified double cover of a genus 1 curve  $\mathcal{C}_1$ , then the abelian surface

$$A = \text{Prym}(\mathcal{C}_3/\mathcal{C}_1) = \text{Jac}(\mathcal{C}_3)/\text{Jac}(\mathcal{C}_1)$$

has a natural polarization of type  $D = \text{diag}(1, 2)$ .

# Definition of Siegel Modular Forms

- Siegel Upper Half Space:  $\mathcal{H}_n = \{Z \in M_{n \times n}^{\text{sym}}(\mathbb{C}) : \text{Im } Z > 0\}$ .
- Symplectic group:  $\sigma = \begin{pmatrix} A & B \\ C & D \end{pmatrix} \in \text{Sp}_n(\mathbb{R})$  acts on  $Z \in \mathcal{H}_n$  by  $\sigma \cdot Z = (AZ + B)(CZ + D)^{-1}$ .
- $\Gamma \subseteq \text{Sp}_n(\mathbb{R})$  such that  $\Gamma \cap \text{Sp}_n(\mathbb{Z})$  has finite index in  $\Gamma$  and  $\text{Sp}_n(\mathbb{Z})$
- Slash action: For  $f : \mathcal{H}_n \rightarrow \mathbb{C}$  and  $\sigma \in \text{Sp}_n(\mathbb{R})$ ,  
 $(f|_k \sigma)(Z) = \det(CZ + D)^{-k} f(\sigma \cdot Z)$ .
- Siegel Modular Forms:  $M_k(\Gamma)$  is the  $\mathbb{C}$ -vector space of holomorphic  $f : \mathcal{H}_n \rightarrow \mathbb{C}$  that are “bounded at the cusps” and that satisfy  $f|_k \sigma = f$  for all  $\sigma \in \Gamma$ .
- Cusp Forms:  $S_k(\Gamma) = \{f \in M_k(\Gamma) \text{ that “vanish at the cusps”}\}$

# Definition of paramodular form

- A *paramodular form* is a Siegel modular form for a paramodular group. In degree 2, the paramodular group of level  $N$ , is

$$\Gamma = K(N) = \left( \begin{array}{cccc} * & N* & * & * \\ * & * & * & */N \\ * & N* & * & * \\ N* & N* & N* & * \end{array} \right) \cap \mathrm{Sp}_2(\mathbb{Q}), \quad * \in \mathbb{Z},$$

- $K(N)$  is the stabilizer in  $\mathrm{Sp}_2(\mathbb{Q})$  of  $\mathbb{Z} \oplus \mathbb{Z} \oplus \mathbb{Z} \oplus N\mathbb{Z}$ .
- ${}^T K(N) \backslash \mathcal{H}_2$  is a moduli space for complex abelian surfaces with polarization type  $(1, N)$ . ( $T$  is “transpose” here.)
- The paramodular Fricke involution splits paramodular forms into plus and minus spaces.

$$S_k(K(N)) = S_k(K(N))^+ \oplus S_k(K(N))^-$$

All abelian surfaces  $A/\mathbb{Q}$  with a minimal endomorphism group over  $\mathbb{Q}$  are paramodular

### Paramodular Conjecture (Brumer and Kramer 2009)

Let  $N \in \mathbb{N}$ . There is a bijection between

1. isogeny classes of abelian surfaces  $A/\mathbb{Q}$  with conductor  $N$  and endomorphisms  $\text{End}_{\mathbb{Q}}(A) = \mathbb{Z}$ ,
2. lines of Hecke eigenforms  $f \in S_2(K(N))^{\text{new}}$  that have rational eigenvalues and are not Gritsenko lifts from  $J_{2,N}^{\text{cusp}}$ .

In this correspondence we have

$$L(A, s, \text{Hasse-Weil}) = L(f, s, \text{spin}).$$

- The direction  $1 \rightarrow 2$  is still believed, but  $2 \rightarrow 1$  will be amended later.

- The endomorphisms *defined over*  $\mathbb{Q}$  should be minimal:  
 $\text{End}_{\mathbb{Q}}(A) = \mathbb{Z}$ . (Where is this condition in the elliptic case?)  
The Paramodular conjecture addresses the typical case. When  $\text{End}_{\mathbb{Q}}(A) > \mathbb{Z}$ , modularity is known.
- Newform theory for paramodular groups:  
Ibukiyama 1984; Roberts and Schmidt 2007 (LNM 1918).
- Grit :  $J_{k,N}^{\text{cusp}} \rightarrow S_k(K(N))$ , the Gritsenko lift from Jacobi cusp forms of index  $N$  to paramodular cusp forms of level  $N$  is an advanced version of the Maass lift.

# Do the arithmetic and automorphic data match up?

Looks like it.

By 1997, Brumer has a long list of  $N$  that could possibly be the conductor of an abelian surface  $A/\mathbb{Q}$  and begins campaigning for the computation of corresponding automorphic forms.

## Theorem (PY 2009)

*Let  $p < 600$  be prime. If  $p \notin \{277, 349, 353, 389, 461, 523, 587\}$  then  $S_2(K(p))$  consists entirely of Gritsenko lifts.*

This exactly matches Brumer's "Yes" list for prime levels  $p < 600$ .

# $L$ -functions of abelian surfaces over $\mathbb{Q}$

1. The local Hasse-Weil  $p$ -Euler factor is the characteristic polynomial of Frobenius on the Tate module  $\mathbb{T}_\ell(A)$  of the abelian surface  $A$ .

$$Q_p(A, t) = \det \left( I - t \text{Frob}_p | \mathbb{T}_\ell(A)^{f_p} \right)$$

2. When the isogeny class of  $A/\mathbb{Q}$  contains a Jacobian or a Prym then computation of the Hasse-Weil  $p$ -Euler factors will be easy. However, it may be difficult to find a representative abelian surface.
3. Global Hasse-Weil  $L$ -function

$$L(A, s, \text{H-W}) = \prod_{\text{primes } p} Q_p(A, p^{-s})^{-1}$$

# L-functions of abelian surfaces over $\mathbb{Q}$

1. For  $N < 1000$ , we have seen mainly Jacobians, Pryms, and Weil restrictions, but the algorithmic challenges searching for  $A/\mathbb{Q}$  will grow with the conductor  $N$ .
2. In the special case when  $A = \text{Jac}(C)$  is the Jacobian of a genus two curve  $C$ , we have

$$L(A, s, \text{H-W}) = L(C, s, \text{H-W})$$

3. The local Hasse-Weil  $p$ -Euler factors for  $A = \text{Jac}(C)$  are accessible by counting points

$$Z_p(C, t) = \exp \left( \sum_{n=1}^{\infty} \#\{\text{Points on } C/\mathbb{F}_{p^n}\} \frac{t^n}{n} \right) = \frac{Q_p(C, t)}{(1-t)(1-pt)}$$

# An example: LMFDB: Genus 2 Curve 277.a.277.1

1.  $A_{277} = \text{Jac}(C_{277})$  for  $C_{277}$  given by the hyperelliptic curve  $y^2 + (x^3 + x^2 + x + 1)y = -x^2 - x$
2. Magma will compute Hasse-Weil Euler factors of a curve:  
>  $G := x^3 + x^2 + x + 1$ ;  $F := -x^2 - x$ ;  
( $y^2 + G(x)y = F(x)$  is the equation)  
>  $C := \text{HyperellipticCurve}(F, G)$ ;  
>  $J := \text{Jacobian}(C)$ ;  
>  $h2 := \text{EulerFactor}(J, \text{GF}(2))$ ;  $h2$ ;  
 $4*x^4 + 4*x^3 + 4*x^2 + 2*x + 1$   
>  $h3 := \text{EulerFactor}(J, \text{GF}(3))$ ;  $h3$ ;  
 $9*x^4 + 3*x^3 + x^2 + x + 1$   
>  $h5 := \text{EulerFactor}(J, \text{GF}(5))$ ;  $h5$ ;  
 $25*x^4 + 5*x^3 - 2*x^2 + x + 1$

## An example: a paramodular newform of level 277

- How can we make a newform in  $S_2(K(277))$ ?
- Both *Gritsenko lifts* and *Borchers products* can help. Both are built from *Jacobi forms*.
- Dimension formulas. No— not in weight two.
- Theta series. No— the trace from  $\Gamma_0(p) \rightarrow K(p)$  gives zero.
- Modular symbols. — no such theory yet.

# Fourier-Jacobi expansions

- Fourier expansion of Siegel modular form:

$$f(Z) = \sum_{T \geq 0} a(T; f) e(\text{tr}(ZT))$$

- Fourier expansion of paramodular form  $f \in M_k(K(N))$  in coordinates:

$$f\left(\begin{matrix} \tau & z \\ z & \omega \end{matrix}\right) = \sum_{\substack{n, r, m \in \mathbb{Z}: \\ n, m \geq 0, 4Nnm \geq r^2}} a\left(\begin{matrix} n & r/2 \\ r/2 & Nm \end{matrix}\right); f) e(n\tau + rz + Nm\omega)$$

- Fourier-Jacobi expansion of paramodular form  $f \in M_k(K(N))$ :

$$f\left(\begin{matrix} \tau & z \\ z & \omega \end{matrix}\right) = \sum_{m \in \mathbb{Z}: m \geq 0} \phi_m(\tau, z) e(Nm\omega)$$

# Fourier-Jacobi expansion (FJE)

$$\text{FJE: } f\left(\frac{\tau}{z} \frac{z}{\omega}\right) = \sum_{m \in \mathbb{Z}: m \geq 0} \phi_m(\tau, z) e(Nm\omega)$$

The Fourier-Jacobi expansion of a paramodular form is fixed *term-by-term* by the following subgroup of the paramodular group  $K(N)$ :

$$P_{2,1}(\mathbb{Z}) = \begin{pmatrix} * & 0 & * & * \\ * & * & * & * \\ * & 0 & * & * \\ 0 & 0 & 0 & * \end{pmatrix} \cap \text{Sp}_2(\mathbb{Z}), \quad * \in \mathbb{Z},$$

- $P_{2,1}(\mathbb{Z})/\{\pm I\} \cong \text{SL}_2(\mathbb{Z}) \times \text{Heisenberg}(\mathbb{Z})$

Thus the coefficients  $\phi_m$  are automorphic forms in their own right and easier to compute than Siegel modular forms. This is one motivation for the introduction of Jacobi forms.

# Definition of Jacobi Forms: Automorphicity

Level one

- Assume  $\phi : \mathcal{H} \times \mathbb{C} \rightarrow \mathbb{C}$  is holomorphic.

$$\begin{aligned}\tilde{\phi} : \mathcal{H}_2 &\rightarrow \mathbb{C} \\ \begin{pmatrix} \tau & z \\ z & \omega \end{pmatrix} &\mapsto \phi(\tau, z)e(m\omega)\end{aligned}$$

- Assume that  $\tilde{\phi}$  transforms by  $\chi \det(CZ + D)^k$  for

$$P_{2,1}(\mathbb{Z}) = \begin{pmatrix} * & 0 & * & * \\ * & * & * & * \\ * & 0 & * & * \\ 0 & 0 & 0 & * \end{pmatrix} \cap \mathrm{Sp}_2(\mathbb{Z}), \quad * \in \mathbb{Z},$$

# Definition of Jacobi Forms: Support

- Jacobi forms are tagged with additional adjectives to reflect the support  $\text{supp}(\phi) = \{(n, r) \in \mathbb{Q}^2 : c(n, r; \phi) \neq 0\}$  of the Fourier expansion

$$\phi(\tau, z) = \sum_{n, r \in \mathbb{Q}} c(n, r; \phi) q^n \zeta^r, \quad q = e(\tau), \zeta = e(z).$$

- $\phi \in J_{k, m}^{\text{cusp}}$ : automorphic and  $c(n, r; \phi) \neq 0 \implies 4mn - r^2 > 0$
- $\phi \in J_{k, m}$ : automorphic and  $c(n, r; \phi) \neq 0 \implies 4mn - r^2 \geq 0$
- $\phi \in J_{k, m}^{\text{weak}}$ : automorphic and  $c(n, r; \phi) \neq 0 \implies n \geq 0$
- $\phi \in J_{k, m}^{\text{wh}}$ : automorphic and  $c(n, r; \phi) \neq 0 \implies n \gg -\infty$   
 (“wh” stands for *weakly holomorphic*)

# Index Raising Operators $V(\ell) : J_{k,m} \rightarrow J_{k,m\ell}$

from Eichler-Zagier

The Jacobi  $V(\ell)$  are images of the elliptic  $T(\ell)$ .

Elliptic Hecke Algebra  $\rightarrow$  Jacobi Hecke Algebra

$$\sum \mathrm{SL}_2(\mathbb{Z}) \begin{pmatrix} a & b \\ c & d \end{pmatrix} \mapsto \sum P_{2,1}(\mathbb{Z}) \begin{pmatrix} a & 0 & b & 0 \\ 0 & ad - bc & 0 & 0 \\ c & 0 & d & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

$$\sum_{\substack{ad=\ell \\ b \pmod d}} \mathrm{SL}_2(\mathbb{Z}) \begin{pmatrix} a & b \\ 0 & d \end{pmatrix} \mapsto \sum_{\substack{ad=\ell \\ b \pmod d}} P_{2,1}(\mathbb{Z}) \begin{pmatrix} a & 0 & b & 0 \\ 0 & \ell & 0 & 0 \\ 0 & 0 & d & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

$$T(\ell) \mapsto V(\ell)$$

# Fourier-Jacobi expansion of the Gritsenko lift

Any Jacobi cusp form can be the leading Fourier-Jacobi coefficient of a paramodular form.

## Theorem (Gritsenko)

For  $\phi \in J_{k,m}^{\text{cusp}}$  the series  $\text{Grit}(\phi)$  converges and defines a map

$$\text{Grit} : J_{k,m}^{\text{cusp}} \rightarrow S_k(K(m))^\epsilon, \quad \epsilon = (-1)^k.$$

$$\text{Grit}(\phi)\left(\begin{smallmatrix} \tau & z \\ z & \omega \end{smallmatrix}\right) = \sum_{\ell \in \mathbb{N}} (\phi|V_\ell)(\tau, z)e(\ell m \omega).$$

# Borcherds Product Summary

## Theorem (Borcherds, Gritsenko, Nikulin)

Given  $\psi \in J_{0,N}^{\text{wh}}(\mathbb{Z})$ , a weakly holomorphic weight zero, index  $N$  Jacobi form with integral coefficients

$$\psi(\tau, z) = \sum_{n,r \in \mathbb{Z}: n \geq -N_0} c(n, r) q^n \zeta^r$$

there is a weight  $k' \in \mathbb{Z}$ , a character  $\chi$ , and a meromorphic paramodular form  $\text{Borch}(\psi) \in M_{k'}^{\text{mero}}(K(N))(\chi)$

$$\text{Borch}(\psi)(Z) = q^A \zeta^B \xi^C \prod_{n,m,r \in \mathbb{Z}} (1 - q^n \zeta^r \xi^{Nm})^{c(nm,r)}$$

converging in a neighborhood of infinity and defined by analytic continuation.

# Theta Blocks: a great way to make Jacobi forms

due to Gritsenko, Skoruppa, and Zagier

- Dedekind Eta function  $\eta \in J_{1/2,0}^{\text{cusp}}(\epsilon)$

$$\eta(\tau) = q^{1/24} \prod_{n \in \mathbb{N}} (1 - q^n)$$

- Odd Jacobi Theta function  $\vartheta \in J_{1/2,1/2}^{\text{cusp}}(\epsilon^3 v_H)$

$$\vartheta(\tau, z) = q^{1/8} \left( \zeta^{1/2} - \zeta^{-1/2} \right) \prod_{n \in \mathbb{N}} (1 - q^n)(1 - q^n \zeta)(1 - q^n \zeta^{-1})$$

- $\text{TB}_k[d_1, d_2, \dots, d_\ell](\tau, z) = \eta(\tau)^{2k-\ell} \prod_{j=1}^{\ell} \vartheta(\tau, d_j z) \in J_{k,m}^{\text{mero}}(\epsilon^{2k+2\ell})$

where  $2m = d_1^2 + d_2^2 + \dots + d_\ell^2$  and  $d_i \in \mathbb{N}$ .

## There are 10 dimensions of Gritsenko lifts in $S_2(K(277))$

We have  $\dim S_2(K(277)) = 11$  whereas the dimension of Gritsenko lifts in  $S_2(K(277))$  is  $\dim J_{2,277}^{\text{cusp}} = 10$ .

Let  $G_i = \text{Grit}(TB_2(\Sigma_i)) \in S_2(K(277))$  for  $1 \leq i \leq 10$  be the lifts of the 10 theta blocks given by:

$\Sigma_i \in \{ [2, 4, 4, 4, 5, 6, 8, 9, 10, 14], [2, 3, 4, 5, 5, 7, 7, 9, 10, 14],$   
 $[2, 3, 4, 4, 5, 7, 8, 9, 11, 13], [2, 3, 3, 5, 6, 6, 8, 9, 11, 13],$   
 $[2, 3, 3, 5, 5, 8, 8, 8, 11, 13], [2, 3, 3, 5, 5, 7, 8, 10, 10, 13],$   
 $[2, 3, 3, 4, 5, 6, 7, 9, 10, 15], [2, 2, 4, 5, 6, 7, 7, 9, 11, 13],$   
 $[2, 2, 4, 4, 6, 7, 8, 10, 11, 12], [2, 2, 3, 5, 6, 7, 9, 9, 11, 12] \}$ .

Remark: The Gritsenko lifts of these ten theta blocks are all Borcherds products as well.

# The nonlift paramodular eigenform $f_{277} \in S_2(K(277))$

$$f_{277} = \frac{Q}{L} \quad (\text{proven holomorphic})$$

$$\begin{aligned} Q = & -14G_1^2 - 20G_8G_2 + 11G_9G_2 + 6G_2^2 - 30G_7G_{10} + 15G_9G_{10} + 15G_{10}G_1 \\ & - 30G_{10}G_2 - 30G_{10}G_3 + 5G_4G_5 + 6G_4G_6 + 17G_4G_7 - 3G_4G_8 - 5G_4G_9 \\ & - 5G_5G_6 + 20G_5G_7 - 5G_5G_8 - 10G_5G_9 - 3G_6^2 + 13G_6G_7 + 3G_6G_8 \\ & - 10G_6G_9 - 22G_7^2 + G_7G_8 + 15G_7G_9 + 6G_8^2 - 4G_8G_9 - 2G_9^2 + 20G_1G_2 \\ & - 28G_3G_2 + 23G_4G_2 + 7G_6G_2 - 31G_7G_2 + 15G_5G_2 + 45G_1G_3 - 10G_1G_5 \\ & - 2G_1G_4 - 13G_1G_6 - 7G_1G_8 + 39G_1G_7 - 16G_1G_9 - 34G_3^2 + 8G_3G_4 \\ & + 20G_3G_5 + 22G_3G_6 + 10G_3G_8 + 21G_3G_9 - 56G_3G_7 - 3G_4^2, \\ L = & -G_4 + G_6 + 2G_7 + G_8 - G_9 + 2G_3 - 3G_2 - G_1. \end{aligned}$$

# A newform $f_{587}^- \in S_2(K(587))^-$ .

Gritsenko, P-, Yuen (2016)

Construct theta blocks  $\phi \in J_{2,587}^{\text{cusp}}$  and  $\Xi \in J_{2,2 \cdot 587}^{\text{cusp}}$ :

$$\phi = \text{TB}_2[1, 1, 2, 2, 2, 3, 3, 4, 4, 5, 5, 6, 6, 7, 8, 8, 9, 10, 11, 12, 13, 14]$$

$$\Xi = \text{TB}_2[1, 10, 2, 2, 18, 3, 3, 4, 4, 15, 5, 6, 6, 7, 8, 16, 9, 10, 22, 12, 13, 14]$$

$$\psi = \frac{\phi | V(2) - \Xi}{\phi} \in J_{0,587}^{\text{wh}}(\mathbb{Z})$$

$$\psi(\tau, z) = \sum_{n,r} c(n, r) q^n \zeta^r = 4 + \frac{1}{q} + \zeta^{-14} + \dots + q^{134} \zeta^{561} + \dots$$

$$f_{587}^-(\tau, z, \omega) = q^2 \zeta^{68} \xi^{587} \prod_{(n,m,r) \geq 0} (1 - q^n \zeta^r \xi^{Nm})^{c(nm,r)}$$

# A nonlift newform $f_{249} \in S_2(K(249))$ .

P-, Shurman, Yuen (2017)

$$\bullet \psi_{249}(\tau, z) = \frac{\vartheta(\tau, 8z)}{\vartheta(\tau, z)} \frac{\vartheta(\tau, 18z)}{\vartheta(\tau, 6z)} \frac{\vartheta(\tau, 14z)}{\vartheta(\tau, 7z)} \in J_{0,249}^{\text{w.h.}}(\mathbb{Z})$$

$$f_{249}\left(\frac{\tau}{z} \frac{z}{\omega}\right) = 14 q^2 \zeta^{63} \xi^{498} \prod_{\substack{n,m,r \in \mathbb{Z}: m \geq 0 \\ \text{if } m=0 \text{ then } n \geq 0 \\ \text{if } m=n=0 \text{ then } r < 0}} (1 - q^n \zeta^r \xi^{mN})^{c(nm,r; \psi_{249})}$$

– 6 Grit(TB<sub>2</sub>(2, 3, 3, 4, 5, 6, 7, 9, 10, 13))  
– 3 Grit(TB<sub>2</sub>(2, 2, 3, 5, 5, 6, 7, 9, 11, 12))  
+ 3 Grit(TB<sub>2</sub>(1, 3, 3, 5, 6, 6, 6, 9, 11, 12))  
+ 2 Grit(TB<sub>2</sub>(1, 1, 2, 3, 4, 5, 6, 9, 10, 15))  
+ 7 Grit(TB<sub>2</sub>(1, 2, 3, 3, 4, 5, 6, 9, 11, 14)).

- Our paramodular website: [www.siegelmodularforms.org](http://www.siegelmodularforms.org)
- (Joint with J. Shurman, D. Yuen.)

Siegel Modular Forms Computation Pages

[Cris Poor](#), [Jerry Shurman](#), [David S. Yuen](#)

### Paramodular Forms

<a href="#">weight 2, level 731 nonlift construction and eigenform analysis</a>	Cris Poor Jerry Shurman David S. Yuen
<a href="#">weight 2, prime level up to 600 nonlift constructions</a>	Cris Poor Jerry Shurman David S. Yuen
<a href="#">finding all Borcherds products of a given weight and level</a>	Cris Poor Jerry Shurman David S. Yuen
<a href="#">weight 2, squarefree composite level up to 300</a>	Cris Poor Jerry Shurman David S. Yuen
<a href="#">weight 2, prime level up to 600</a>	Cris Poor David S. Yuen
<a href="#">a family of antisymmetric forms</a>	Cris Poor David S. Yuen

### Siegel Modular Forms of Level 1

<a href="#">degree 3, weight up to 22</a>	Cris Poor Jerry Shurman David S. Yuen
<a href="#">degree 4, weight up to 16; degree 5, weight 8 and 10; degree 6, weight 8</a>	Cris Poor David S. Yuen
<a href="#">degree 4 Ikeda (DII) lifts, weight up to 16</a>	Cris Poor David S. Yuen

# Pulling back: $G$ a reductive algebraic group

## Modularity

Arithmetic

Automorphic

Motives  $\longrightarrow$  Galois representations  $\longleftarrow$  Automorphic reps

Motives  $\longrightarrow \rho : \text{Gal}(\bar{\mathbb{Q}}/\mathbb{Q}) \rightarrow \hat{G}(\mathbb{C}) \longleftarrow$  Auto reps of  $G(\mathbb{A})$

$\uparrow$

$\uparrow$

Etale cohomology of varieties

Automorphic forms

Abelian surfaces  $\rightarrow \text{Gal}(\bar{\mathbb{Q}}/\mathbb{Q}) \rightarrow \text{GSp}(4, \mathbb{C}) \longleftarrow$  paramodular,  $G = \text{SO}(5)$

Abelian surfaces  $\rightarrow$   $L$ -functions  $\longleftarrow$  paramodular  $f$ ,  $G = \text{SO}(5)$

Elliptic curves  $\rightarrow \text{Gal}(\bar{\mathbb{Q}}/\mathbb{Q}) \rightarrow \text{GL}(2, \mathbb{C}) \longleftarrow$  elliptic  $G = \text{GL}(2)$

# Proven paramodularity!

- Q: How can you prove the  $L$ -functions of  $A$  and  $f$  agree?
- A: You associate Galois representations to each of  $A$  and  $f$  and prove that their Galois representations are equivalent.
- Q: How can you prove two Galois representations are equivalent?
- A: Use a generalization of Faltings-Serre to  $\mathrm{GSp}(4)$  to show that the Galois representations agree if enough traces of Frobenius agree.
- The Hasse-Weil and spin  $L$ -functions of some  $A$  and  $f$  agree.
  - $N = 277$ : need primes up to  $p = 43$
  - $N = 353$ : need primes as large as  $p = 137$
  - $N = 587^-$ : need primes up to  $p = 41$(On arXiv— *On the paramodularity of typical abelian surfaces*, Brumer, Pacetti, Poor, Tornarà, Voight, Yuen)

# Modularity of $A_{731}$ , a composite level: $731 = 17 \cdot 43$

1. Berger and Klosin have proven the modularity of

$$A_{731} = \text{Jac} (y^2 = x^6 - 6x^4 + 4x^3 + 9x^2 - 16x - 4),$$

the first modularity proof of a *typical* abelian surface with composite conductor.

2.  $A_{731}$  has rational 5-torsion.

The candidate eigenform  $f_{731} \in S_2(K(731))$  is congruent to a Gritsenko lift modulo  $p = 5$ .

3. The Galois representations  $\sigma_A$  and  $\sigma_f$  associated to  $A_{731}$  and  $f_{731}$  are the unique characteristic zero deformation of  $\bar{\sigma}_A$ .

Tobias Berger and Krzysztof Klosin: *Deformations of Saito-Kurokawa type and the Paramodular Conjecture*.

arXiv:1710.10228v2 (appendix by Poor, Shurman, Yuen)

## Systematic Evidence for the Paramodular Conjecture

# Twisting

Let  $\chi$  be the nontrivial quadratic Dirichlet character modulo  $p$ .

- Twist  $L$ -functions:  $\sum_n \frac{a_n}{n^s} \mapsto \sum_n \chi(n) \frac{a_n}{n^s}$
- Twist abelian surfaces:  $A \mapsto A^\chi$   
I only indicate the special case of Jacobians:  
 $\text{Jac}(y^2 = f(x)) \mapsto \text{Jac}(py^2 = f(x))$ .
- According to the Paramodular Conjecture, there should be a way to twist paramodular forms. (Well, weight two newforms ...)

$$\begin{array}{ccc} A & \xrightarrow{\text{twist}} & A^\chi \\ \text{PC} \downarrow & & \downarrow \text{PC} \\ f & \xrightarrow{??} & f^\chi \end{array}$$

Johnson-Leung and Roberts have a theory of twisting paramodular forms.

- Jennifer Johnson-Leung and Brooks Roberts: *Twisting of Siegel Paramodular Forms*, Int. J. Number Theory 13 (2017).
- **Theorem.** Let  $\chi$  be the nontrivial quadratic Dirichlet character modulo an odd prime  $p \nmid N$ . There exists a linear twisting map

$$\mathcal{T}_\chi : S_k(K(N)) \rightarrow S_k(K(Np^4))$$

such that if  $f$  is a new eigenform and  $\mathcal{T}_\chi(f) \neq 0$  then

$$L(\mathcal{T}_\chi(f), s, \text{spin}) = L^\chi(f, s, \text{spin}).$$

- Therefore if  $f$  shows the modularity of  $A$  then  $\mathcal{T}_\chi(f)$  shows the modularity of  $A^\chi$ .
- For example, for all  $p \neq 277$ , the surface  $A_{277}^\chi$  is modular!

# Weil Restriction

Let  $E/K$  be an elliptic curve over a quadratic field  $K$ .

There exists an abelian surface over  $\mathbb{Q}$ ,  $A_E = \text{Res}_{K/\mathbb{Q}}(E)$ , the *Weil restriction* of  $E$ , whose  $\mathbb{Q}$ -points are in bijection with the  $K$ -points of  $E$ .

If  $E$  is *not* isogenous to its conjugate over  $K$  then  $\text{End}_{\mathbb{Q}}(A_E) = \mathbb{Z}$ , and the Paramodular Conjecture applies to the Weil restriction  $A_E$ .

- When  $K$  is real quadratic,  $E/K$  is modular with respect to some weight  $(2, 2)$  Hilbert modular form  $F$  for  $\text{SL}(\mathcal{O}_K \oplus \mathfrak{a})$ .

Nuno Freitas and Bao V. Le Hung and Samir Siksek: *Elliptic curves over real quadratic fields are modular*. *Invent. Math.* 201 (2015)

- According to the Paramodular Conjecture, there should be a lift from Hilbert to paramodular forms.

# Weil Restriction

Johnson-Leung and Roberts have a theory of lifting Hilbert eigenforms to paramodular eigenforms

- Jennifer Johnson-Leung, and Brooks Roberts: *Siegel modular forms of degree two attached to Hilbert modular forms*. J. Number Theory 132 (2012)
- For real quadratic  $K$ , the modularity of the Weil restrictions  $A_E = \text{Res}_{K/\mathbb{Q}}(E)$  is shown by the J-LR paramodular lift of the Hilbert eigenform that shows the modularity of  $E/K$ .

$$\begin{array}{ccc} E/K & \xrightarrow{\text{WR}} & A/\mathbb{Q} \\ \text{Modularity} \downarrow & & \downarrow \text{PC} \\ \text{Hilbert form} & \xrightarrow{\text{JL-R lift}} & \text{Paramodular form} \end{array}$$

# Weil Restriction

For imaginary quadratic  $K$ , Berger, Dembélé, Pacetti, and Sengun have a similar theory lifting Bianchi eigenforms to paramodular eigenforms.

- Tobias Berger, and Lassina Dembélé, and Ariel Pacetti, and Mehmet Haluk Sengun: *Theta lifts of Bianchi modular forms and applications to paramodularity*. J. Lond. Math. Soc. (2) 92 (2015)

$$\begin{array}{ccc} E/K & \xrightarrow{\text{WR}} & A/\mathbb{Q} \\ \text{modularity} \downarrow & & \downarrow \text{PC} \\ \text{Bianchi form} & \xrightarrow{\text{BDPS lift}} & \text{Paramodular form} \end{array}$$

# Do the arithmetic and automorphic data match up?

## Theorem (P–Yuen 2009)

*Let  $p < 600$  be prime. If  $p \notin \{277, 349, 353, 389, 461, 523, 587\}$  then  $S_2(K(p))$  consists entirely of Gritsenko lifts.*

Paramodular Conjecture verified for prime levels  $p < 600$  not listed above.  
Brumer and Kramer prove the absence of abelian surfaces.

- The dimension formula of Ibukiyama (2007: Hamana Lake) for  $S_4(K(p))$  was crucial to these computations.

# Do the arithmetic and automorphic data match up?

## Theorem (Breeding, P– Yuen 2016)

For all  $N \leq 60$ ,  $S_2(K(N))$  consists entirely of Gritsenko lifts.

Paramodular Conjecture verified for many odd levels  $N \leq 60$ . Brumer and Kramer prove the absence of semistable abelian surfaces of odd conductor.

- We proved an *a priori* bound on the number of Fourier-Jacobi coefficients needed to determine the space  $S_2(K(N)^*, \chi)$ .
- Jeffery Breeding, Cris Poor, and David S. Yuen: *Computations of spaces of paramodular forms of general level*. J. Korean Math. Soc. 53 (2016)

# Do the arithmetic and automorphic data match up?

## Theorem (P– Shurman, Yuen 2017)

*Let  $N < 300$  be square-free. If  $N \notin \{249, 277, 295\}$  then  $S_2(K(N))$  consists entirely of Gritsenko lifts. Furthermore, there is exactly one dimension of nonlift eigenforms for  $S_2(K(249))$ ,  $S_2(K(277))$ ,  $S_2(K(295))$ .*

Paramodular Conjecture verified for odd squarefree levels  $N < 300$ , except as noted.

Cris Poor, Jerry Shurman, David S. Yuen: *Siegel paramodular forms of weight 2 and squarefree level*, Int. J. Number Theory 13 (2017)

- The dimension formula of Ibukiyama and Kitayama for  $S_4(K(N))$  and squarefree  $N$  was crucial to these computations.

## The Paramodular Conjecture 2.0

## Perspective on classification results.

- Elliptic curves over  $\mathbb{Q}$   $\leftrightarrow$  elliptic  $\mathbb{Q}$ -newforms in  $S_2(\Gamma_0(N))$
- $E$  over real quad  $K \rightarrow$  Hilbert  $\mathbb{Q}$ -newforms in  $S_2(\mathrm{SL}(\mathcal{O}_K \oplus \mathfrak{a}))$   
(but  $(\leftarrow)$  is not quite done— see discussion in Freitas, Siksek:  
[arxiv.org/pdf/1307.3162.pdf](https://arxiv.org/pdf/1307.3162.pdf))
- $E$  over imaginary quad  $K \rightarrow$  Bianchi  $\mathbb{Q}$ -newforms in  $S_2(\Gamma_0(\mathfrak{n}))$

But there is a problem going  $(\leftarrow)$  from Bianchi newforms to  $E/K$  as noted by John Cremona.

# Counterexamples

Ciaran Schembri is my source for this example.

- Define a hyperelliptic curve  $C_o/\mathbb{Q}(i)$  of genus two by
$$y^2 = x^6 + 4ix^5 - (6 + 2i)x^4 + (7 - i)x^3 - (9 - 8i)x^2 - 10ix + (3 + 4i)$$
- $A_o = \text{Jac}(C_o)$  is an abelian surface over  $\mathbb{Q}(i)$  of conductor  $\mathfrak{p}_{5,1}^4 \mathfrak{p}_{37,2}^4$  of norm  $34225^2 = 185^4$ .
- $\mathcal{O}_6 \hookrightarrow \text{End}_{\mathbb{Q}(i)}(A_o)$  where  $\mathcal{O}_6$  is the maximal order of the rational quaternion algebra of discriminant 6
- There is a Bianchi newform  $f_o \in S_2(\Gamma_0(\mathfrak{p}_{5,1}^4 \mathfrak{p}_{37,2}^4))$  with  $\mathbb{Q}$ -rational eigenvalues such that  $L(A_o, s, \text{Hasse-Weil}) = L(f_o, s)^2$ .
- By Faltings, there can be no  $E/\mathbb{Q}(i)$  with  $L(E, s, \text{Hasse}) = L(f_o, s)$ . ( $L$ -functions determine isogeny classes of abelian varieties)

Thus, the pairing between  $E/\mathbb{Q}(i)$  and Bianchi newforms is not perfect.

# A counterexample to the Paramodular conjecture 1.0

Frank Calegari pointed out counterexamples to the Paramodular Conjecture in January, 2018.

- By Weil restriction,  $B = \text{WR}(A_o/\mathbb{Q}(i))$  is an abelian fourfold defined over  $\mathbb{Q}$  with  $\text{End}_{\mathbb{Q}}(B) \otimes \mathbb{Q}$  an indefinite quaternion algebra.
- The lift of Berger, Dembélé, Pacetti, and Sengun gives  $f = \text{BDPS-lift}(f_o) \in S_2(K(N))$ . Note  $N = (16 \cdot 185)^2 = 8\,761\,600$ .
- $L(B, s, \text{H-W}) = L(f, s, \text{spin})^2$  and there can be no abelian surface  $A/\mathbb{Q}$  with  $L(A, s, \text{H-W}) = L(f, s, \text{spin})$  due to the different endomorphism rings  $\text{End}_{\mathbb{Q}}(B) \otimes \mathbb{Q} \neq \text{End}_{\mathbb{Q}}(A \oplus A) \otimes \mathbb{Q}$ , these being isogeny invariants.

# The Paramodular conjecture 2.0 (2018)

An abelian fourfold  $B/\mathbb{Q}$  has *quaternionic multiplication* (QM) if  $\text{End}_{\mathbb{Q}}(B)$  is an order in a non-split quaternion algebra over  $\mathbb{Q}$ . A cuspidal, nonlift Siegel paramodular newform  $f \in S_2(K(N))$  with rational Hecke eigenvalues will be called a *suitable* paramodular form of level  $N$ .

## Paramodular Conjecture (Brumer–Kramer)

Let  $N \in \mathbb{N}$ . Let  $\mathcal{A}_N$  be the set of isogeny classes of abelian surfaces  $A/\mathbb{Q}$  of conductor  $N$  with  $\text{End}_{\mathbb{Q}} A = \mathbb{Z}$ . Let  $\mathcal{B}_N$  be the set of isogeny classes of QM abelian fourfolds  $B/\mathbb{Q}$  of conductor  $N^2$ . Let  $\mathcal{P}_N$  be the set of suitable paramodular forms of level  $N$ , up to nonzero scaling. There is a bijection  $\mathcal{A}_N \cup \mathcal{B}_N \leftrightarrow \mathcal{P}_N$  such that

$$L(C, s, \text{H-W}) = \begin{cases} L(f, s, \text{spin}), & \text{if } C \in \mathcal{A}_N, \\ L(f, s, \text{spin})^2, & \text{if } C \in \mathcal{B}_N. \end{cases}$$

Brumer and Kramer: QM implies  $N = M^2s$  with  $s \mid \text{gcd}(30, M)$ .

## Heuristic Tables for the Paramodular Conjecture

(found by classifying initial Fourier-Jacobi expansions)

With updated dimensions from: *Nonlift weight two paramodular eigenform constructions*, by Poor, Shurman, and Yuen. In progress.

And from: *Antisymmetric paramodular forms of weights 2 and 3*, by Gritsenko, Poor, and Yuen: arXiv:1609.04146v1.

# Heuristic tables: $k = 2$ paramodular newforms: $N \leq 800$ .

$$\begin{aligned}
 +\text{new nonlift} &= \dim \left( (S_2(K(N))^{\text{new}})^+ / \text{Grit} \left( J_{2,N}^{\text{cusp}} \right) \right) \\
 -\text{new} &= \dim (S_2(K(N))^{\text{new}})^-.
 \end{aligned}$$

The “=” means “proven.”

$N$	+new	-new	various comments
249	= 1		BP+Grit; Jac
277	= 1		modular! Q/L; Jac
295	= 1		BP+Grit; Jac
349	= 1		BP+Grit; Jac
353	= 1		modular! BP+Grit; Jac
388	1		Jac

$N$	+new	-new	various comments
389	= 1		BP+Grit; Jac
394	1		Jac
427	1		Jac
461	= 1		Tr(BP)+Grit; Jac
464	1		Jac
472	1		Jac
511	2		quad pair, $\sqrt{5}$ ; 4-dim $A/\mathbb{Q}$ ?
523	= 1		BP+Grit; Jac
550	1		no match known

$N$	+new	-new	various comments
555	1		Jac
561	1		Prym
574	1		Jac
587	= 1	= 1 <i>modular!</i>	Tr(BP)+Grit and BP-; Jacs
597	1		Jac
603	1		Jac
604	1		Jac
623	1		Jac
633	1		Jac

$N$	+new	-new	various comments
637	2		quad pair, $\sqrt{2}$ ; 4-dim $A/\mathbb{Q}$ ?
644	1		Jac
645	2		quad pair, $\sqrt{2}$ ; 4-dim $A/\mathbb{Q}$ ?
657	$\geq 1$		modular! WR: $E_{(9\zeta_6-8)}/\mathbb{Q}(\sqrt{-3})$
665	1		Prym
688	1		Jac
691	1		Jac
702	1		no match known

$$\zeta_6 = \exp(2\pi i \frac{1}{6})$$

$N$	+new	-new	various comments
704	1		Jac
708	1		Jac
709	1		Jac
713	1	$\geq 1$	BP-; Jacs
731	$= 1$		Berger and Klosin: modular! Jac
737	1		Prym
741	1		Jac
743		1	Jac

$N$	+new	-new	various comments
745	1		Jac
760	1		no match known
762	1		Jac
763	1		Jac
768	1		Jac
775	$\geq 1$		modular! WR: $E_{(5\phi-2)}/\mathbb{Q}(\sqrt{5})$
797	1		Jac

$$\phi = \frac{1 + \sqrt{5}}{2}$$

Thanks to Armand Brumer for all his help, in particular for providing me with the majority of the abelian surfaces in this talk.

*Thank you!*